

ADMIRAL INSURANCE COMPANY

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**MISCELLANEOUS MEDICAL
PROFESSIONAL LIABILITY APPLICATION
(CLAIMS-MADE FORM)**

NOTE: COMPLETION AND SUBMISSION OF THIS APPLICATION IS FOR THE PURPOSE OF SECURING A PREMIUM QUOTAION ONLY. NO COVERAGE WILL BE EFFECTED UNTIL RECEIPT OF WRITTEN INSTRUCTION AND PREMIUM PAYMENT. ANY SUBSEQUENT CONTRACT ISSUED WILL BE IN FULL RELIANCE UPON THE STATEMENTS AND REPRESENTATIONS MADE IN THIS APPLICATION (AND ATTACHMENTS HERETO) AND THE APPLICATION WILL BE MADE A PART OF THE POLICY.

IF A POLICY IS ISSUED, IT WILL BE ON A CLAIMS-MADE BASIS. THE LIMITS OF LIABILITY AVAILABLE TO PAY JUDGMENTS OR SETTLEMENTS SHALL BE REDUCED BY AMOUNTS INCURRED FOR DEFENSE EXPENSES. AMOUNTS INCURRED FOR LEGAL DEFENSE SHALL BE APPLIED AGAINST THE APPLICALE DEDUCTIBLE AMOUNT.

All questions must be fully completed. If there is insufficient space to complete an answer, continue on a separate sheet of the applicant's letterhead. If a question is not applicable, state "N/A."

1. NAME OF APPLICANT: _____
(If other than parent firm, supply full details of ownership entity)

2. a) MAILING ADDRESS: _____
b) Square feet of total office space (all locations) _____

3. a) DATE ESTABLISHED _____ Corp. _____ Partnership _____ Prof. Assoc. _____ Individual _____
b) In what states is the applicant registered and licensed to practice? _____

4. Is the firm engaged in, owned by, associated with or controlled by any other business? _____
If yes, give details: _____

5. PROFESSIONAL ACTIVITIES AND SPECIALITY (Attach narrative description if necessary) Check One:

- | | |
|---------------------------------------|---------------------------------------|
| _____ Health Maintenance Organization | _____ Residential Healthcare Facility |
| _____ Home Healthcare Agency | _____ Other (Specify) _____ |
| _____ Medical/Testing Laboratory | _____ |
| _____ Nurse's Registry | _____ |
| _____ Out-Patient Clinic | |

6. State approximate division of applicant's patients among:

- | | |
|-------------------------------------|-----------------------------------|
| (a) Alcoholics (%) | (k) Obstetrical (%) |
| (b) Counseling/Family Planning (%) | (l) Pediatric (%) |
| (c) Communicable (%) | (m) Psychiatric (%) |
| (d) Dental (%) | (n) Research or Experimental (%) |
| (e) Drug addicts (%) | (o) Senile or Aged (%) |
| (f) General (%) | (p) Stress Testing (%) |
| (g) Hemodialysis (%) | (q) Surgical (%) |
| (h) Holistic Medicine (%) | (r) Tubercular (%) |
| (i) Medical (%) | (s) Other _____ (%) |
| (j) Mentally Retarded (%) | |

7. a. List the number and type of applicant's employees and volunteers: If none state none.

NUMBER	Type of Profession	NUMBER	Type of Profession
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- (a) _____ Inhalation Therapists
- (b) _____ Laboratory Technicians
- (c) _____ Nurse Anesthetists
- (d) _____ Nurse, Licensed Practical

- (e) _____ Nurse Practitioner
- (f) _____ Nurses Registered
- (g) _____ Opticians
- (h) _____ Optometrists

NUMBER	Type of Profession
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- (i) _____ Perfusionists
- (j) _____ Pharmacists
- (k) _____ Physicians — minor surgery
- (l) _____ Physicians — no surgery

NUMBER	Type of Profession
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- (m) _____ Physiotherapists
- (n) _____ Social Workers
- (o) _____ Speech Therapists
- (p) _____ Other _____

b. List the number and type of independent contractors who provide professional services on behalf of the applicant. IF NONE, STATE NONE. _____

c. Are all the above individuals licensed in accordance with applicant state and federal regulations? ___ Yes ___ No. If no, attach explanation.

ATTACH DETAILED EXPLANATION FOR ANY "YES" ANSWERS:

Has the applicant or have any of the above employees:	YES	NO
(a) Ever been the subject of disciplinary or investigative proceedings or reprimanded by a governmental or administrative agency, hospital or professional association?	(a) _____	_____
(b) Ever been convicted for an act committed in violation of any law or ordinance other than traffic offenses?	(b) _____	_____
(c) Ever been treated for alcoholism or drug addiction?	(c) _____	_____
(d) Ever had any state professional license of license to prescribe or dispense narcotics refused, suspended, revoked, renewal refused or accepted only on special terms or ever voluntarily surrendered same?	(d) _____	_____

8. Does the applicant perform:	YES	NO
A. Acupuncture or acupuncture anesthesia? Explain: _____	A. _____	_____
B. Angiography/Arteriography/Venography? Describe: _____	B. _____	_____
C. Catheterization (other than urinary or umbilical)? Describe procedure: _____	C. _____	_____
D. Closed reduction of compound fractures and/or normal deliveries and/or dermabrasion?	D. _____	_____
E. Injection of radioisotopes and/or use of irradiated substances?	E. _____	_____
F. Radiation therapy and/or chemotherapy? Describe: _____	F. _____	_____
G. Psychiatric shock therapy?	G. _____	_____
H. Silicone injections? Describe: _____	H. _____	_____
I. Spinal Anesthesia (other than saddle blocks or caudals)?	I. _____	_____
J. Laser treatment? Describe: _____	J. _____	_____

- | | YES | NO |
|--|----------|-------|
| 9. Does the applicant perform any: | | |
| A. Surgery other than incision of superficial or suturing superficial fascia? | A. _____ | _____ |
| B. Circumcisions and/or dilation and curretage and/or insertion of temporary pacemakers? | B. _____ | _____ |
| C. Tonsillectomies and/or Adenoidectomies and/or Caesarean Sections? | C. _____ | _____ |
| D. Cosmetic Plastic Surgery? Describe: _____ | D. _____ | _____ |
| E. Excision of large cysts and/or I&D of deep-seated boils or carbuncles? | E. _____ | _____ |
| F. Hysterectomies? | F. _____ | _____ |
| G. Open reduction of fractures? Describe: _____ | G. _____ | _____ |
| H. Surgery for weight reduction of patients? | H. _____ | _____ |
| I. Abortions and/or menstrual extractions? Describe (include trimester, method and number of abortions performed per month): _____ | I. _____ | _____ |
| J. Cryosurgery (other than use on benign or per-malignant dermatological lesions)? Describe: _____ | J. _____ | _____ |
| K. Silicone implants? Describe: _____ | K. _____ | _____ |
| L. Sterilization procedures? Describes: _____ | L. _____ | _____ |
| M. Biopsies and/or endoscopies? List types performed: _____
_____ | M. _____ | _____ |
| N. Sex change operations? Describe and advise the number performed per year: _____
_____ | N. _____ | _____ |
| O. Other Surgery? Describe: _____ | O. _____ | _____ |
| 10. Does the applicant perform hospital emergency room care? | | |
| (a) for its own regular patients? _____ Yes _____ No (b) for patients not its own? _____ Yes _____ No | | |
| (c) If answer to (b) is yes, please specify: The percentage of its time devoted to this work = _____%, the number of hours per month devoted to this work = _____ hrs. | | |
| 11. Does the applicant use drugs for weight reduction of patients? _____ Yes _____ No. If yes, on last page list drugs used and advise: percentage of practice devoted to weight reduction, frequency and duration of prescriptions for weight reduction drugs, and quantity dispensed by applicant. | | |
| 12. Does the applicant administer any methadone treatment? _____ Yes _____ No. If yes, describe treatment and controls used and indicate number of treatments during last 12 months _____ next 12 months _____. | | |
| 13. Is anesthesia (other than topical or by means of local infiltration) administered by either applicant or others? _____ Yes _____ No. | | |
| 14. Does the applicant maintain any beds overnight occupancy? _____ Yes _____ No. If yes, total number: _____ | | |
| 15. State number of X-ray machines owned or operated and whether they are used for diagnosis or treatment or both. State by whom treatment is given and number of procedures: _____
_____ | | |

16. Does the applicant own (wholly or in part), operate, or administer any hospital, nursing home or other institution where medical services are customarily rendered? Yes No. If yes, give details, including name, location, size and number of beds. _____

17. State sources and amount of total revenue:

Source	Amount Last Policy Year	Est. Amount This Policy Year
A. Charitable Contributions	\$ _____	\$ _____
B. Government Funding	\$ _____	\$ _____
C. Fee For Service	\$ _____	\$ _____
D. _____	\$ _____	\$ _____
E. _____	\$ _____	\$ _____
TOTAL GROSS REVENUE:	\$ _____	\$ _____

18. Number of patient encounters last 12 months _____ and/or patient tests carried out _____.
(NOTE: "Patient encounters refers to number of visits — not number of patients).)

19. Number of estimated patient encounters next 12 months _____ and/or patient tests carried out _____. (NOTE: "Patient encounters refers to number of visits — not number of patients).)

20. If applicant has a training school, complete the following.

Specify profession for which students are being trained	Max. No. of students per session	No. of sessions per year	% of Time involved in clinical setting	Number of students	Qualification of faculty (e.g. MD, RN, PHD)
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

21. Give Professional Liability Coverage for last five years for the firm:

Carrier	Limit	Deductible	Premium	Expiration (Mo/Day/Yr)
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

22. Is the applicant currently insured under a Commercial General Liability Policy? Yes No. If yes, please give details:

Insurance Company	Type of Coverage	Limits		Effective	
		BI	PD	From	To
_____	_____	_____	_____	_____	_____

23. Has any application for Professional Liability Insurance made on behalf of the firm, any predecessors in business or present Partners ever been declined or has the insurance ever been cancelled or renewal refused? Yes No. If yes, please give details: _____

24. Has any claim ever been made against the firm or any of its employees? Yes No. If yes, please attach details stating: 1) date when claim was made; 2) date the act giving rise to the claim was committed; 3) name of the claimant; 4) nature of the claim; 5) amount involved including reserves; and 6) final disposition.

25. Is the applicant aware of any circumstances which may result in any claim against him, the firm, his predecessors in business, or any of the present or past Partners or Officers? _____ Yes _____ No. If yes, please give full details on the same basis as item 23.

26. Has any insurer cancelled or refused to renew any similar insurance during the past five years? _____

27. Limits of Liability requested: _____ Deductible: _____

28. Desired term of policy: From: _____ To: _____

29. The applicant declares that the above statements and representations are true and correct and that no facts have been suppressed or misstated. The completion of this application does not bind the Company to sell nor the applicant to purchase this insurance, but any subsequent contracts issued will be in full reliance upon the statements and representations made in this application and the application will be a part of the policy.

The applicant understands that any subsequent contract issued by the Company will be issued on a CLAIMS MADE FORM.

Date

Signature of Applicant

Title

Producer